



## ADDITIONAL REQUIREMENTS RELATED TO TRADING OF SINGLE STOCK FUTURES (“SSF”)

### 1. Introduction

- 1.1. This document contains additional requirements that must be read and understood by the Client wishing to engage in the trading of Single Stock Futures (“SSF”) on Dubai Gold & Commodities Exchange (“DGCX”). Clients are required to sign and acknowledge this statement prior to commencement of trading SSF Contracts on DGCX.
- 1.2. The Client understands fully the risks associated with trading derivatives on such underlying equities:
  - a) DGCX does not list or trade cash equities as primary exchange. The underlying equity to the Single Stock Futures will be listed in the underlying country which could potentially lead to additional risk elements which should be carefully considered before engaging in trading the SSF contracts.
  - b) Client is aware of the risks associated with delays in disclosure information wherein under certain market conditions; the prices of SSF Contracts on DGCX may not maintain their expected relationships to its underlying security prices. These pricing disparities could occur due to a variety of reasons and have an impact of both positions and active orders in SSF Contracts.

These conditions include but are not limited to:

- i. delay in the information availability about the corporate action announcement or associated price-sensitive news in the underlying security,
    - ii. the primary market for the underlying securities is closed or halted,
    - iii. the primary market for a given Single Stock Futures contract or underlying securities is illiquid.
- 1.3. The Client is advised of the following regulatory requirements, which must be met in order to engage in trading of SSF on DGCX, and as mandated by the Securities and Commodities Authority (SCA):

GULF BROKERS DMCC, Unit No: 3209, HDS Tower, Plot No: JLT-PH1-F2A, Jumeirah Lakes Towers, Dubai, UAE

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- a) Client must have cash or investments in financial assets of a minimum value of AED 100,000.
- b) Client must have experience of at least two (2) years of trading in a market where stock futures are listed and traded.

1.4. The Client confirms and acknowledges that it has read the above additional requirements and understands its responsibility in disclosing said information, and agrees to assume the risks that are associated with trading in Single Stock Futures on DGCX.

**Signature:**

**Date:**

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**Client Name:**

**Place:**

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FOR INTERNAL OFFICE USE ONLY

Verified by CODO:

Name:

Designation:

Signature

Date:

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