



**PROSPECTIVE CLIENT PROFILE / KYC COVERSHEET**

Where 'Client' is a regulated financial institution or a corporation, foundation or charity.

Affix your recent  
passport size  
photograph

**1. Name of Client Contact:**

**2. Relationship to corporate entity:**

Mobile No:

House/Office No:

Fax No:

Address:

**3. Introduction**

GULF BROKERS DMCC, Unit No: 3209, HDS Tower, Plot No: JLT-PH1-F2A, Jumeirah Lakes Towers, Dubai, UAE

+971 42 42 4120

info@gulfbrokers.ae

Company is registered & licensed as a FREEZONE Company under the Rules & Regulations of DMCCA under registration No. 30636



Known to:	Yrs/Months:
Referred by	Related to:
Solicited based on recommendation from:	
<b>4. Bank Account details</b>	
Bank Name:	Branch:
Date since (DDMMYY) ___/___/___	A/c No.:
<b>5. Account Type (Tick suitable)</b>	
Regulated Financial Institution <input type="checkbox"/>	Corporation / Foundation / Charity <input type="checkbox"/>
<b>6. Profile (Tick relevant boxes)</b>	
Short/Long-term investment <input type="checkbox"/>	Pricing/Delivery <input type="checkbox"/>
Hedging <input type="checkbox"/>	Arbitrage <input type="checkbox"/>
Other <input type="checkbox"/> (please describe)	
<b>7. Corporation / Foundation / Charity</b>	
Business Name:	

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Type of business:		
<b>8. Financial Information</b>		
Estimated total balance-sheet assets:		
Origin of assets deposited into account::		
Source of information:		
<b>9. Estimated Daily Transactions</b>		
6 contracts or less <input type="checkbox"/>	7 – 20 <input type="checkbox"/>	
21 – 50 <input type="checkbox"/>	more than 50 <input type="checkbox"/>	
Other information (i.e. projected future turnover):		
<b>10. Are any of the entity's major shareholders politically exposed* persons?</b>		
*{i.e. are/were they – a senior military, government or political official of any country? A senior executive of a state-owned corporation, or an immediate family member or close associate of such a person?}		
Yes <input type="checkbox"/>	No <input type="checkbox"/>	Not Sure <input type="checkbox"/>

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If 'YES' or 'NOT SURE' please provide below any known details

**Addition Information/Continuation 1 -10**

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<b>Information Captured By</b>	:	
Name	:	
Signature	:	
Date	:	
<b>Information Supplied By</b>	:	
Name	:	
<b><i>The information supplied is correct to the best of my knowledge</i></b>		
Signature	:	
Date	:	

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